

# Major holdings notification pursuant to Sec. 130 to 134 BörseG 2018

Los Angeles, 23.4.2021

## Overview

**Notification made after deadline**

Caution: In case of violations of major holdings notification rules, please pay attention to Section 137 BörseG 2018 (Suspension of voting rights)

<b>1. Issuer:</b> DO & CO Aktiengesellschaft				
<b>2. Reason for the notification:</b> Event changing the breakdown of voting rights Other				
<b>3. Person subject to notification obligation</b> <b>Name:</b> The Capital Group Companies, Inc. ("CGC") <b>City:</b> Los Angeles <b>Country:</b> USA				
<b>4. Name of shareholder(s):</b> SMALLCAP World Fund, Inc.				
<b>5. Date on which the threshold was crossed or reached:</b> 22.4.2021				
<b>6. Total positions</b>				
	% of voting rights attached to shares (7.A)	% of voting rights through financial/other instruments (7.B.1 + 7.B.2)	<b>Total</b> of both in % (7.A + 7.B)	<u>Total number of voting rights of issuer</u>
Resulting situation on the date on which threshold was crossed / reached	5,51 %	2,49 %	8,00 %	9 744 000
Position of previous notification (if applicable)	4,02 %	3,01 %	7,03 %	

## Details

### 7. Notified details of the resulting situation:

<b>A: Voting rights attached to shares</b>				
ISIN Code	Number of voting rights		% of voting rights	
	Direct (Sec 130 BörseG 2018)	Indirect (Sec 133 BörseG 2018)	Direct (Sec 130 BörseG 2018)	Indirect (Sec 133 BörseG 2018)
AT0000818802		537 004		5,51 %
<b>SUBTOTAL A</b>	537 004		5,51 %	

<b>B 1: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018</b>				
Type of instrument	Expiration Date	Exercise Period	Number of voting rights that may be acquired if the instrument is exercised	% of voting rights
<b>SUBTOTAL B.1</b>				

<b>B 2: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018</b>					
Type of instrument	Expiration Date	Exercise Period	Physical / Cash Settlement	Number of voting rights	% of voting rights
Rights to recall lent shares of Common Stock	N/A	N/A	Physisch	242 516	2,49 %
<b>SUBTOTAL B.2</b>				242 516	2,49 %

**8. Information in relation to the person subject to the notification obligation:**

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer.

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity:

No.	Name	<u>Directly</u> controlled by No.	<u>Shares</u> held directly (%)	<u>Financial/other</u> <u>instruments</u> held directly (%)	<u>Total</u> of both (%)
1	The Capital Group Companies, Inc. ("CGC")				
2	Capital Research And Management Company	1	5,51 %	2,49 %	8,00 %

**9. In case of proxy voting**

Date of general meeting: -

Voting rights after general meeting: - is equivalent to - voting rights.

**10. Sonstige Kommentare:**

The Capital Group Companies, Inc. (*CGC*) is the parent company of Capital Research and Management Company (*CRMC*) and Capital Bank & Trust Company (*CB&T*). CRMC is a U.S.-based investment management company that serves as investment manager to the American Funds family of mutual funds, other pooled investment vehicles, as well as individual and institutional clients. CRMC and its investment manager affiliates manage equity assets for various investment companies through three divisions, Capital Research Global Investors, Capital International Investors and Capital World Investors. CRMC is the parent company of Capital Group International, Inc. (*CGII*), which in turn is the parent company of four investment management companies (*CGII management companies*): Capital International, Inc., Capital International Limited, Capital International Sàrl and Capital International K.K. CGII management companies and CB&T primarily serve as investment managers to institutional and high net worth clients. CB&T is a U.S.-based investment management company that is a registered investment adviser and an affiliated federally chartered bank.

Neither CGC nor any of its affiliates own shares of the Issuer for its own account. Rather, the shares reported on this Notification are owned by accounts under the discretionary

investment management of one or more of the investment management companies described above.

SMALLCAP World Fund, Inc. is a mutual fund registered in the United States under the Investment Company Act of 1940. SMALLCAP World Fund, Inc. is the legal owner of shares in DO & CO AG.

SMALLCAP World Fund, Inc. has granted proxy voting authority to CRMC, its investment adviser.

Percentage of voting rights attached to shares crossed a threshold of 5%, due to return of lent shares.

Los Angeles am 23.4.2021